



**CERTIFICATE OF MAILING**  
(PATENT APPLICATION)

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By: Xe J A

Application of: Bauhahn et al.

Application No.: 09/560,064

Filing Date: April 27, 2000

Title: Patient Directed Therapy Management

Transmitted herewith are the following documents:

- X Transmittal Form (1 page) in duplicate
- X Fee Transmittal (1 page) in duplicate
- X Preliminary Amendment (3 pages)
- X Return Postcard

Attorney Case No.: 11738.86893

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TECHNOLOGY CENTER R3700

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10-15-02

3736

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0691-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

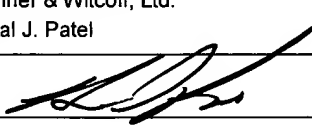
|   |                             |                               |             |
|---|-----------------------------|-------------------------------|-------------|
| <b>TRANSMITTAL<br/>FORM</b><br><br>(to be used for all correspondence after initial filing) | <b>Application Number</b>   | 09/560,064                    |             |
|   | <b>Filing Date</b>          | April 27, 2000                |             |
|   | <b>First Named Inventor</b> | Bauhahn et al.                |             |
|   | <b>Group Art Unit</b>       | 3736                          |             |
|   | <b>Examiner Name</b>        | n/a                           |             |
| <b>Total Number of Pages in This Submission</b>   | 5                           | <b>Attorney Docket Number</b> | 11738.86893 |

### ENCLOSURES (check all that apply)

|   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input checked="" type="checkbox"/> Amendment / Response<br><br><input type="checkbox"/> After Final<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><br><input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><br><b>Express Mail Certificate<br/>Return Receipt Postcard</b> |
| <b>Remarks</b>  |  | <b>The Commissioner is hereby authorized to charge any deficiencies in payment or credit any overpayment to our Deposit Account 19-0733.</b>   |

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                                |   |
|--------------------------------|---|
| <b>Firm or Individual name</b> | Banner & Witcoff, Ltd.<br>Binal J. Patel  |
| <b>Signature</b>               |  |
| <b>Date</b>                    | October 11, 2002  |

### CERTIFICATE OF MAILING

|   |  |             |  |
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| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/> |  |             |  |
| <b>Typed or printed name</b>  |  |             |  |
| <b>Signature</b>  |  | <b>Date</b> |  |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**FEE TRANSMITTAL**  
**for FY 2002**

*Patent fees are subject to annual revision.*

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$) **246**

**Complete if Known**

Application Number 09/560,064

Filing Date April 27, 2000

First Named Inventor Ruth Bauhahn

Examiner Name n/a

Group / Art Unit 3736

Attorney Docket No. 011738.00088

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 19-0733

Deposit Account Name Banner & Witcoff, Ltd.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION**

**1. BASIC FILING FEE**

| Large Entity        | Small Entity | Fee Description        | Fee Paid      |
|---------------------|--------------|------------------------|---------------|
| Fee Code 101        | Fee Code 201 | Utility filing fee     |               |
| Fee Code 106        | Fee Code 206 | Design filing fee      |               |
| Fee Code 107        | Fee Code 207 | Plant filing fee       |               |
| Fee Code 108        | Fee Code 208 | Reissue filing fee     |               |
| Fee Code 114        | Fee Code 214 | Provisional filing fee |               |
| <b>SUBTOTAL (1)</b> |              |                        | <b>(\$ 0)</b> |

**2. EXTRA CLAIM FEES**

| Total Claims         | Extra Claims | Fee from below | Fee Paid        |
|----------------------|--------------|----------------|-----------------|
| 39                   | -30 ** = 9   | 18             | 162             |
| Independent Claims 5 | -4 ** = 1    | 84             | 84              |
| Multiple Dependent   |              |                | 0               |
| <b>SUBTOTAL (2)</b>  |              |                | <b>(\$ 246)</b> |

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

| Large Entity              | Small Entity    | Fee Description  | Fee Paid      |
|---------------------------|-----------------|--|---------------|
| Fee Code 105              | Fee Code 205    | Surcharge - late filing fee or oath  |               |
| Fee Code 127              | Fee Code 227    | Surcharge - late provisional filing fee or cover sheet.                    |               |
| Fee Code 139              | Fee Code 139    | Non-English specification  |               |
| Fee Code 147              | Fee Code 147    | For filing a request for reexamination                                     |               |
| Fee Code 112              | Fee Code 920*   | Requesting publication of SIR prior to Examiner action                     |               |
| Fee Code 113              | Fee Code 1,840* | Requesting publication of SIR after Examiner action                        |               |
| Fee Code 115              | Fee Code 215    | Extension for reply within first month                                     |               |
| Fee Code 116              | Fee Code 216    | Extension for reply within second month                                    |               |
| Fee Code 117              | Fee Code 217    | Extension for reply within third month                                     |               |
| Fee Code 118              | Fee Code 218    | Extension for reply within fourth month                                    |               |
| Fee Code 128              | Fee Code 228    | Extension for reply within fifth month                                     |               |
| Fee Code 119              | Fee Code 219    | Notice of Appeal   |               |
| Fee Code 120              | Fee Code 220    | Filing a brief in support of an appeal                                     |               |
| Fee Code 121              | Fee Code 221    | Request for oral hearing   |               |
| Fee Code 138              | Fee Code 138    | Petition to institute a public use proceeding                              |               |
| Fee Code 140              | Fee Code 240    | Petition to revive - unavoidable   |               |
| Fee Code 141              | Fee Code 241    | Petition to revive - unintentional   |               |
| Fee Code 142              | Fee Code 242    | Utility issue fee (or reissue)   |               |
| Fee Code 143              | Fee Code 243    | Design issue fee   |               |
| Fee Code 144              | Fee Code 244    | Plant issue fee  |               |
| Fee Code 122              | Fee Code 122    | Petitions to the Commissioner  |               |
| Fee Code 123              | Fee Code 123    | Processing fee under 37 CFR 1.17 (q)                                       |               |
| Fee Code 126              | Fee Code 126    | Submission of Information Disclosure Stmt                                  |               |
| Fee Code 581              | Fee Code 581    | Recording each patent assignment per property (times number of properties) |               |
| Fee Code 146              | Fee Code 246    | Filing a submission after final rejection (37 CFR § 1.129(a))              |               |
| Fee Code 149              | Fee Code 249    | For each additional invention to be examined (37 CFR § 1.129(b))           |               |
| Fee Code 179              | Fee Code 279    | Request for Continued Examination (RCE)                                    |               |
| Fee Code 169              | Fee Code 169    | Request for expedited examination of a design application                  |               |
| Other fee (specify) _____ |                 |  |               |
| <b>SUBTOTAL (3)</b>       |                 |  | <b>(\$ 0)</b> |

**SUBMITTED BY**

Name (Print/Type) Binal J. Patel Registration No. Attorney/Agent 42,065 Telephone 312.715.1000

Signature Date October 11, 2002

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